

2014

OREGON WATER & WASTEWATER FUNDING AND RESOURCE GUIDE



Compiled by:



Oregon Water & Wastewater Funding and Resource Guide

April 2014

Background and Purpose Rural Community Assistance Corporation (RCAC), a private non-profit organization serving 13 states in the West, helps rural communities achieve their vision and goals through training, technical assistance, and access to resources. RCAC works with funding and regulatory agencies and partners to address utility compliance issues for lower income rural communities.

The purpose of the RCAC Oregon Water Wastewater Funding and Resource Guide is to provide an easy to use document which identifies water and wastewater funding programs, agencies, and organizational resources. RCAC hopes that this guide will be used as a tool to help you move forward with water and wastewater infrastructure projects in your community.

Scope The Guide provides information on primary agency funding programs which support planning, predevelopment, and construction of drinking water and wastewater infrastructure projects. It also includes information on resources available to assist communities with completing drinking water and wastewater projects, addressing regulatory compliance, drinking water protection, improving water quality and local public health. Additional resources may be available. Please contact RCAC to suggest a resource to include in this guide.

Contents

- Agencies serving water/wastewater needs for small Oregon communities
- Funding programs for water and wastewater projects

Key Project Stages

- Planning
- Predevelopment
- Engineering and Design
- Construction

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For more information on Rural Community Assistance Corporation, visit: www.rcac.org



Agencies Serving Water/Wastewater Needs of Small Oregon Communities

<p>U.S. Environmental Protection Agency EPA Region 10 Oregon Operations Office 805 SW Broadway, Suite 500 Portland, OR 97205 Joel Salter Oregon Water Programs Coordinator Phone: (503) 326-2653 Email: Salter.Joel@epa.gov</p> <p>Drinking Water SRF Site: http://yosemite.epa.gov/r10/water.nsf/Drinking+Water/State+Revolving+Fund</p> <p>Clean Water SRF Site: http://yosemite.epa.gov/R10/ecocomm.nsf/state+revolving+fund/cwsrf</p>	<p>United States Department of Agriculture Rural Development (USDA RD) 1201 NE Lloyd Blvd., Ste. 801 Portland, OR 97232-1274 Sam Goldstein, Community Programs Director Phone: (503) 414-3362 Email: Sam.goldstein@or.usda.gov</p> <p>Website: http://www.rurdev.usda.gov/ORcp.html</p>
<p>U.S. Department of Health and Human Services Portland Area Indian Health Service 1414 NW Northrup Street, Suite 800 Portland, OR 97209 Phone: (503) 414-5555 Website: www.ihs.gov</p>	<p>U.S. Department of Commerce Economic Development Administration (EDA) 121 SW Salmon Street, Suite 244 Portland, OR 97204 David Porter, Economic Development Representative Phone: (503) 326-3078 Email: dporter@eda.doc.gov</p>
<p>Oregon Health Authority (OHA) Drinking Water Services PO Box 14450 Portland, OR 97293-0450 Phone: (971) 673-0422 Website: http://healthoregon.org/dwp</p> <p>Adam DeSemples, Safe Drinking Water Revolving Loan Fund, (971) 673-0422</p> <p>Tony Fields, Planning Protection & Certification Manager, (971) 673-2269</p> <p>Debra Lambeth, Environmental Review Coordinator, (971) 673-0414</p> <p>Tom Pattee, Groundwater Protection, (541) 726-2587 x 24</p> <p>Kari Salis, Technical Services Region 1, (971) 673-0423</p> <p>Karen Kelley, Technical Services Region 2, (541) 726-2587 x 22</p> <p>Julie Wray, Plan Review, (971) 673-0408</p> <p>Technical Assistance: HBH Consulting Engineers, Inc., (503) 625-8065</p>	<p>Oregon Business Development Department (OBDD) Infrastructure Finance Authority (IFA) 775 Summer St. NE, Suite 200 Salem, OR 97301-1280 Phone: (503) 986-0123 Email: infrastructure.info@state.or.us</p> <p>Website: www.orinfrastructure.com</p>

Agencies Serving Water/Wastewater Needs of Small Oregon Communities

Continued

Oregon Department of Environmental Quality (DEQ)

811 SW Sixth Avenue
Portland, OR 97204-1390

Clean Water State Revolving Fund (CWSRF)

Katie Foreman, Program Coordinator: (503) 229-5622
Kathy Estes, Loan Specialist: (503) 229-6814
Website: www.deq.state.or.us/wq/loans/loans.htm

Drinking Water Protection Program

Sheree Stewart, Program Coordinator: (503) 229-5413
Julie Harvey, Drinking Water Specialist: (503) 229-5664

Website: www.deq.state.or.us/wq/dwp/dwp.htm

Rural Community Assistance Corporation (RCAC)

1020 S.W. Taylor Street Suite 450
Portland, OR 97205

Chris Marko, Rural Development Specialist
(503) 228-1780 cmarko@rcac.org

RosAnna Noval, Rural Development Specialist
(503) 308-0207 rnoval@rcac.org

Website: www.rcac.org

Additional Resources for Water and Wastewater Needs

<p>Association of Oregon Counties 1201 Court St NE Suite 300 Salem, OR 97301 Laura Cleland</p> <p>Phone: (503) 585-8351 Website: www.aocweb.org</p> <p>LOCAP Underwriter: Wedbush Securities, Katie Schwab, (503) 471-6798</p>	<p>League of Oregon Cities 1201 Court St. NE, Suite 200 Salem, OR 97301 Susan Muir</p> <p>Phone: (503) 588-6550 Website: www.orcities.org</p> <p>LOCAP Underwriter: Wedbush Securities, Katie Schwab, (503) 471-6798</p>
<p>Special Districts Association of Oregon PO Box 12613 Salem, OR 97309</p> <p>Phone: (503) 371-8667 Website: www.sdao.com</p> <p>Luann Richey, (503) 371-8667 x 113</p>	<p>Oregon Water Resources Department 725 Summer Street NE, Suite A Salem, OR 97301</p> <p>Phone: (503) 986-0900 Website: www.oregon.gov/owrd</p>
<p>Oregon Association of Water Utilities 935 N Main Street Independence, Oregon 97351</p> <p>Phone: (503) 837-1212 Website: www.oawu.net</p>	<p>Oregon Watershed Enhancement Board 775 Summer St. NE Suite 360 Salem, OR 97301</p> <p>Phone: (503) 986-0178 Website: www.oregon.gov/OWEB</p>

Federal Regulatory Information:

Safe Drinking Water Act (SDWA): www.epa.gov/safewater/sdwa

Clean Water Act (CWA): <http://www.epa.gov/oecaagct/lcwa.html>

National Pollutant Discharge Elimination System (NPDES): http://cfpub.epa.gov/npdes/cwa.cfm?program_id=45

FUNDING PROGRAMS FOR WATER AND WASTEWATER PROJECTS IN OREGON

Planning and Predevelopment

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
OBDD Infrastructure Finance Authority (IFA) Community Development Block Grant (CDBG)	Preliminary engineering and planning – water master plans, wastewater facilities plans, water conservation and management plans, capital improvement plans, inflow and infiltration studies. Final engineering – preliminary engineering reports, studies	Projects must principally benefit low to moderate income people in non-entitlement cities and counties. Projects must serve primarily residential needs, not primarily for capacity building.	<ul style="list-style-type: none"> • Grants up to \$175,000 for preliminary engineering and planning • Grants up to \$3,000,000 for final design engineering and construction 	Competitive applications are accepted year-round and reviewed quarterly. All awards are subject to funding availability. Contact the Oregon Business Development Department (OBDD) at (503) 986-0123 and ask for your regional coordinator, or view program details at: www.orinfrastructure.com
OBDD IFA Special Public Works Fund (SPWF)	Preliminary engineering studies; and economic investigations related to municipal utility projects (water, wastewater, stormwater)	Cities, counties, county service districts (ORS Chapter 451), Tribes, ports, & districts (ORS 198.010)	<ul style="list-style-type: none"> • Grants up to \$60,000 or 85% of project costs. • Loans available at reduced interest rates/7-year term. 	Apply year-round based on funding availability. Contact OBDD at (503) 986-0123 and ask for your regional coordinator or view program details at: www.orinfrastructure.com
OBDD IFA Water Wastewater (WWF)	Preliminary planning, engineering studies and economic investigations in preparation for construction projects that address an existing or pending compliance issue.	Cities, counties, county service districts (ORS Chapter 451), tribes, ports and districts (ORS 198.010). For a population of less than 15,000 with a Notice of Non-compliance or potential notice.	<ul style="list-style-type: none"> • Grants up to \$20,000 • Loans up to \$20,000 	Apply year-round based on funding availability. Contact OBDD at (503) 986-0123 and ask for the regional coordinator or view program details at: www.orinfrastructure.com
USDA-Rural Development Pre-development Planning Grant (PPG)	Water and/or wastewater planning; preliminary engineering reports, environmental reports, and other work to assist in developing a project that is expected to be funded by RD in the next 12 – 18 months.	Public bodies (such as municipality, county, district or authority); non-profit organizations, and Indian tribes. Priority given to the smallest and poorest communities and systems with limited resources.	<ul style="list-style-type: none"> • Maximum \$25,000 grant or 75% of project costs, whichever is less. 	Apply year-round based on funding availability. Contact USDA-Rural Development Oregon State Office at (503) 414-3360 and ask for your regional loan specialist or view program details at: www.rurdev.usda.gov/UWP-predevelopment.htm

FUNDING PROGRAMS FOR WATER AND WASTEWATER PROJECTS IN OREGON

Planning and Predevelopment continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
USDA-Rural Development Special Evaluation Assistance for Rural Communities and Households (SEARCH)	Water and/or wastewater planning; preliminary engineering reports, environmental reports, and other work to assist in developing a project that is expected to be funded by RD in the next 12-18 months.	Public bodies (such as municipality, county, district or authority); non-profit organizations, and Indian tribes. Priority given to the smallest and poorest communities and systems with limited resources.	<ul style="list-style-type: none"> • Maximum \$30,000 grant or 100% of project costs, whichever is less 	Apply year-round based on funding availability. Contact USDA-Rural Development Oregon State Office at (503) 414-3360 and ask for your regional loan specialist or view program details at: www.cfda.gov (Number 10.759)
Rural Community Assistance Corp. Loan Fund Feasibility and Predevelopment	Water and/or wastewater planning; environmental work; and other work to assist in developing an application for infrastructure improvements	Nonprofit organizations, public agencies and tribal governments serving rural areas with a population of 50,000 or less; or 10,000 if guaranteed by RD financing	<ul style="list-style-type: none"> • Max \$50,000 for feasibility loan • Max \$350,000 for predevelopment loan • 1 year term • Interest rate @ 5.5% 	Applications accepted anytime Contact: Josh Griff at (720) 951-2163 or jgriff@rcac.org . Applications available on-line at www.rcac.org
EDA Technical Assistance Grants Feasibility Studies	EDA's mission is to help economically distressed communities in ways that help them build long-term economic development capacity. Projects must foster the creation or retention of higher-skilled, higher-wage employment opportunities for local displaced workers and attract private-sector capital investment.	Indian Tribes; state, county, city or other political subdivisions of a state; institutions of higher education; public or private non-profit organizations or associations	<ul style="list-style-type: none"> • \$50,000 to \$75,000 • Local match required • Grant funds received from other Federal Agencies may not be used to satisfy local share match. 	Visit agency website at www.eda.gov and review latest "Federal Funds Announcement" (FFO). Submit application through www.grants.gov
Clean Water State Revolving Fund (CWSRF)	Loans are available for planning and design projects associated with: publicly owned wastewater treatment and stormwater facilities and systems, non-point source water quality improvement projects and estuary management projects.	Federally recognized tribal governments, cities, counties, sanitary districts, soil and water conservation districts, irrigation districts, various special districts and certain intergovernmental entities.	<ul style="list-style-type: none"> • Loan only • Up to 5 years • Substantially discounted interest rate • No annual fee 	Applications accepted year round with scheduled review and ranking in February, June and October. Contact the Oregon Department of Environmental Quality (DEQ); call Katie Foreman at (503) 229-5622.

FUNDING PROGRAMS FOR WATER AND WASTEWATER PROJECTS IN OREGON

Construction

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
OBDD IFA Community Development Block Grant (CDBG)	All projects must be in accordance with an approved water plan or wastewater plan. Eligible activities include: construction engineering; acquisition of property (including easements); grant administration; and audits. Projects addressing an existing or pending compliance issue will score higher.	Projects must principally benefit low to moderate income people in non-entitlement cities and counties. Projects must serve primarily residential needs and not be for capacity building.	<ul style="list-style-type: none"> • Maximum Grant of \$3 million, subject to the maximum \$3 million per project limitation during a five-year period. • Single grant may be awarded to cover final engineering and construction. 	<p>Competitive applications accepted year-round and reviewed quarterly. All awards are subject to funding availability.</p> <p>Contact OBDD at (503) 986-0123 and ask for your regional coordinator or view program information at www.orinfrastructure.com</p>
OBDD IFA Special Public Works Fund (SPWF)	Planning for raising and managing funds, pre-construction and construction of water, wastewater, stormwater projects. Projects must be publically owned and support economic and community development in Oregon.	Cities, counties, county service districts (ORS Chapter 451), tribes, ports and districts (ORS 198.010)	<ul style="list-style-type: none"> • Primarily a loan program • Maximum \$10 million loan • 25 year term maximum. • Grants based on retention or creation of jobs, up to max. of \$5,000 per job • Grants cannot exceed \$500,000 or 85% of the project cost, whichever is less 	<p>Apply year-round, based on funding availability.</p> <p>Contact OBDD at (503) 986-0123 and ask for your regional coordinator or view program details at www.orinfrastructure.com</p>
OBDD IFA Water Wastewater Financing (WWF)	Planning, pre-construction, and construction improvements of drinking water, wastewater, or stormwater projects. Projects must be publically owned and address an existing or pending compliance issue.	Cities, counties, county service districts (ORS Chapter 451), tribes, ports, & districts (ORS 198.010)	<ul style="list-style-type: none"> • Maximum \$10 million loan • 25 year term maximum • Grant eligibility based on median household income • Maximum \$750,000 grant 	<p>Competitive applications are accepted year-round and reviewed quarterly. All awards are subject to funding availability.</p> <p>Contact OBDD at (503) 986-0123 and ask for your regional coordinator, or view program details at www.orinfrastructure.com</p>

FUNDING PROGRAMS FOR WATER AND WASTEWATER PROJECTS IN OREGON
Construction continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
Oregon Health Authority Safe Drinking Water Revolving Loan Fund (SDWRLF)	<p>Drinking water system projects must resolve <i>existing</i> or <i>future</i> non-compliance with <i>current</i> or <i>future</i> state and federal drinking water standards, that addresses the most serious human health risks, or that is essential to create a new drinking water system improvement that will substantially benefit public health.</p> <p><i>Eligible Activities:</i> Planning, engineering, design, water source construction, land or easement acquisition, treatment, storage, transmission/distribution, system purchase, system consolidation, system creation, system security, restructuring</p>	<p>Public and privately owned community and non-profit non-community public water systems. Federally owned systems are not eligible.</p>	<ul style="list-style-type: none"> • Projects requesting \$3 million or more require additional review and approval from the Drinking Water Advisory Committee • Interest rate fluctuates quarterly (set at 80% of the previous quarters municipal bond rate) • 20-year term maximum • 30-year term maximum for disadvantaged communities • Principal Forgiveness • Green Project Reserve (GPR) financial incentive • Circuit Rider assistance for eligible systems under 10,000 in population 	<p>A Letter of Interest (LOI) may be submitted anytime to be eligible for funding consideration. Contact Oregon Health Authority’s Drinking Water Services at (971) 673-0405 or go to the OHA website: http://healthoregon.org/srf</p> <p>You may also contact Business Oregon’s Infrastructure Finance Authority (IFA) at (503) 986-0123 or visit their website at: http://www.orinfrastructure.org/LOI-Form/ to take you directly to the LOI.</p>
Oregon Health Authority Drinking Water Source Protection Fund (DWSPF)	<p>Drinking Water Source Protection projects that lead to risk reduction within a delineated source water area or that would contribute to a reduction in contaminant concentration within the drinking water source.</p>	<p>Any public and privately owned community and non-profit non-community water systems with a completed Source Water assessment. Federally owned systems are not eligible.</p>	<ul style="list-style-type: none"> • Max \$30,000 Grant • Max \$100,000 loan • Interest rate fluctuates quarterly (set at 80% of previous quarter’s municipal bond rate). • 20 year term • 30-year term maximum for disadvantaged communities 	<p>A letter of interest must be submitted to be eligible for funding consideration. Check with OHA on submittal schedule.</p> <p>Contact Oregon OHA Drinking Water Services at (971) 673-0405 or visit http://healthoregon.org/srf or contact OBDD at (503) 986-0123 or visit www.orinfrastructure.com</p>

FUNDING PROGRAMS FOR WATER AND WASTEWATER PROJECTS IN OREGON

Construction continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
Clean Water State Revolving Fund (CWSRF)	Loans and bond purchase agreements are available for planning, design, and construction projects associated with: publicly owned wastewater treatment and stormwater facilities and systems, non-point source water quality improvement projects and estuary management projects. Interim financing is also available.	Indian tribal governments, cities, counties, sanitary districts, soil and water conservation districts, irrigation districts, various special districts and certain intergovernmental entities.	<ul style="list-style-type: none"> • Loan: Up to 20 year term, or life of asset • Bond purchase agreement: Up to 30 year term or life of asset • Interest may be discounted depending on funding type and community demographics • Low annual fee (planning loans exempt from this fee) • Possible principle forgiveness 	<p>Applications accepted year round with scheduled review and ranking in the first week of February, June and October.</p> <p>Contact the Oregon Department of Environmental Quality (DEQ); call Katie Foreman at (503) 229-5622, email foreman.katie@deq.state.or.us or contact your local project officer. For a list of project officers, go to: http://www.deq.state.or.us/wq/loans/contracts.htm</p>
USDA-Rural Development Water Environmental Programs (WEP) Direct Loan & Grant Program	Pre-construction & construction associated with constructing, repairing, or improving water, sewer, solid waste or storm wastewater disposal facilities.	Public bodies (such as municipality, county, district, or authority); non-profit organizations and Indian tribes serving financially needy communities with service area populations < 10,000.	<ul style="list-style-type: none"> • Primarily loan program • Grants based on need • Interest rates track AA rated 20 yr. muni. bonds and fixed for life of loan • Lower income communities receive an interest rate subsidy • Up to 40-year loan term 	<p>Apply year-round based on funding availability. Contact USDA-Rural Development, Oregon State Office at (503) 414-3360 and ask for your regional loan specialist or view program details at: www.rurdev.usda.gov/ORrep.html</p>
LOCAP Full Faith and Credit Obligations Bridge financing and full project financing	New capital projects having a useful life greater than 1 year or refunding outstanding bonds and loans. Includes soft costs, such as staff time, design and professional services, directly related to the project.	Cities and counties that are members of the League of Oregon Cities and Association of Oregon Counties and their component units (i.e., service districts and urban renewal agencies)	<ul style="list-style-type: none"> • Municipal bond market • Interest at market rates • No maximum principal amount 	<p>Applications are accepted anytime. Contact the LOCAP coordinator, Katie Schwab, Wedbush Securities, at (503) 471-6798 or email katie.schwab@wedbush.com</p>
LOCAP Utilities Revenue Bonds Full project financing	New capital projects for water, sewer, and stormwater systems having a useful life greater than 1 year or refunding outstanding utility revenue bonds. Includes soft costs, such as staff time, design and professional services, directly related to the project.	Cities and counties that are members of the League of Oregon Cities and Association of Oregon Counties and their component units (i.e., service districts and urban renewal agencies)	<ul style="list-style-type: none"> • Municipal bond market • Interest at market rates • No maximum principal amount • Requires a Debt Service Reserve Fund and satisfactory coverage 	<p>Applications are accepted anytime. Contact the LOCAP coordinator, Katie Schwab, Wedbush Securities, at (503) 471-6798 or email katie.schwab@wedbush.com</p>

FUNDING PROGRAMS FOR WATER AND WASTEWATER PROJECTS IN OREGON

Construction continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
RCAC Loan Fund Construction	Water, wastewater, solid waste and storm facilities that primarily serve low income rural communities. Includes predevelopment costs	Non-profit organizations, public agencies, and tribal governments rural areas with populations of 50,000 or less, or 10,000 if using RD financing as the takeout	<ul style="list-style-type: none"> • Max \$2 million with commitment letter for permanent financing • Security in permanent loan letter of conditions • 1-3 year term • 1% loan fee • Interest rate 5.5% 	<p>Applications are accepted anytime. Contact Josh Griff at (720) 951-2163 or email jgriff@rcac.org</p> <p>Applications available on-line at: www.rcac.org</p>
RCAC Loan Fund Intermediate Term Loans	Water, wastewater, solid waste and storm facilities that primarily serve low income rural communities. Includes predevelopment costs	Non-profit organizations, public agencies, and tribal governments rural areas with populations of 50,000 or less; or 10,000 if using RD financing as the takeout	<ul style="list-style-type: none"> • For smaller capital needs projects • Normally not to exceed \$100,000 • Up to 20 year term • Interest rate 5.0% 	<p>Applications are accepted anytime. Contact Josh Griff at (720) 951-2163 or email jgriff@rcac.org</p> <p>Applications available on-line at: www.rcac.org</p>
US Economic Development Administration Public Works Grants	EDA's mission is to help economically distressed communities in ways that help them build long-term economic development capacity. Projects must foster the creation or retention of higher-skilled, higher-wage employment opportunities for local displaced workers and attract private-sector capital investment.	Indian Tribes; state, county, city or other political subdivisions of a state; institutions of higher education; public or private non-profit organizations or associations	<ul style="list-style-type: none"> • Public Works grant awards are in the range of \$500,000 – \$2,500,000 with 50% local matching funds required. • Grant funds received from other Federal Agencies may not be used to satisfy local share match. 	<p>Visit agency website at www.eda.gov and review latest "Federal Funds Opportunities" (FFO).</p> <p>Submit application through www.grants.gov</p>

